



10-29-03

RCE/2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/055,771  
Applicant : Li et al.  
Filed : 01/23/2002  
Title : THERMALLY ENHANCED INTERPOSER  
AND METHOD  
TC/A.U. : 2839 ✓  
Examiner : Prasad, Chandrika  
Docket No. : H2022-00002

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

TRANSMITTAL LETTER

Please find enclosed for filing:

- ☒ Request for Continued Examination (RCE) transmittal  
☒ Preliminary Amendment  
☒ Express Mailing label: EV343433262US  
☒ Certificate of Express Mailing  
☒ Check # 7362 in the amount of \$375 for RCE fee  
☒ The Assistant Commissioner for Patents is hereby authorized to charge any additional fees due in connection with this matter to Deposit Account No. 04-1679. This Transmittal Letter is submitted in duplicate.  
☒ Return Postcard

Date: 10/28/03

Respectfully Submitted,

Samuel W. Apicelli  
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Customer No. 08933  
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305 North Front Street, P.O. Box 1003  
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Appl. No. 10/055,771  
Applicant Li et al.  
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Title THERMALLY ENHANCED INTERPOSER  
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TC/A.U. Examiner Prasad, Chandrika

Docket No. H2022-00002

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

CERTIFICATE OF EXPRESS MAIL

I hereby certify that these documents, namely a Request for Continued Examination (RCE), and a Preliminary Amendment in connection with the above-identified patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

"Express Mail" mailing label number EV343433262US

Date of Deposit

October 28, 2003

Date:

10/28/03

Respectfully submitted,

Samuel W. Apicelli

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**Request  
for  
Continued Examination (RCE)  
Transmittal**

Address to:  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	10/055,771
Filing Date	01/23/2002
First Named Inventor	Che-Yu Li
Art Unit	2839
Examiner Name	Prasad, Chandrika
Attorney Docket Number	H2022-00002

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**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
- a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_
- ii. ☒ Other Amendment dated May 16, 2003
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☒ Deposit Account No. 04-1679
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ 375.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

10/30/2003 BABRAHA1 00000048 041679 10055771  
01 FC:2801 10.00 DA 375.00 OP

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Samuel W. Apicelli	Registration No. (Attorney/Agent)	36,427
Signature	<i>Samuel W. Apicelli</i>	Date	10/28/03

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)		Date	
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.